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on Dec. 5, 2001

by Saundra S. Christopher

Saundra S. Christopher

UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Guenther, L. et al.

duominor, E. ot

Serial No.:09/855,360

Filed:May 15, 2001

:Group Art Unit:

:2152

:IPLaw T81/B503

:IBM Corporation

:PO Box 12195

:RTP, NC 27709

Title: Interception Method and System for Compensating Disadvantageous Characteristics of a Communication Protocol

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

This Information Disclosure Statement is being submitted in connection with the above-identified application for patent. Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 C.F.R. § 1.98(a)(1).

JAN 1 6 2002

Technology Center 210

Respectfully submitted,

David R. Irvin, Agent

Reg. No. 42,682

DRI/ssc

Docket:DE920000038US1

Phone: 919-543-7810 / Fax: 919-254-4330

FORM PTO-1449 (Modified)

List of Patents and Publications for Applicant's Information Disclosure Statement

(Us several sheets if necessary)

ATTY. DOCKET NO. DE92000038US1

SERIAL NO. **09/855,360**

APPLICANT: Gu nth r, L. et al.

FILING DATE: May 15, 2001

GROUP:

2152

Reference Designation			US PATENT DOCUMENTS			Center 246 Filing Date	
Examiner Initials		Document Number	Date	Name	Class/Subclass	Filing Date (If. Appro.)	
	AA	5,673,322	09/30/97	Pepe et al.	380/49	03/22/96	
		<u></u>	FOREIC	ON PATENT DOCUMEN	TS		
		Document Number	Date	Country	Class/Subclass	Translation Yes No	
	•						
		OTHER AR	T (Includin	g Author, Title, Data, Po	ertinent Pages, etc.		
	AB		tions, IEEE	Web Access Using eNe Communications Societ			
	AC	Hansen, J. et al. "Semi-Connected TCP/IP in a Mobile Computing Environment", Workshop IMC. Information Visualization and Mobile Computing, Proceedings of IMC, vol. 1, no. 2, pp 39-48, 1998					
Examiner					Date Considered	<u> </u>	

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.